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1 The Environmental Impacts of Alternative Food Waste Treatment Technologies in the 2 U.S.

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11 Abstract: A Life Cycle Assessment (LCA) was conducted to determine the environmental impacts of several waste treatment scenarios for a suburban New York (U.S.) municipality. 12 The study goal was to determine if separate food waste recovery and management was 13 environmentally sounder than waste-to-energy incineration (the baseline case). Three 14 15 alternatives, enclosed tunnel composting, enclosed windrow composting, and anaerobic digestion with subsequent enclosed windrow composting of residuals, were examined 16 considering the entire residual waste stream (not just separated food wastes). Impact 17 categories assessed were climate change, environmental eutrophication and acidification, 18 resource depletion, and stratospheric ozone depletion. A normalized, aggregated impact 19 20 assessment was created to compare the treatments across categories. The anaerobic digestion scenario scored best, followed by the tunnel composting and the baseline waste to 21 energy incineration scenarios, and, last, the enclosed windrow composting scenario. 22 Although it was possible to select an alternative that decreased environmental burdens 23 compared to the business-as-usual case, all modeled scenarios resulted in higher overall 24 environmental burdens than savings, underscoring the need to avoid creating waste to 25 conserve resources and reduce environmental burdens, and ultimately lead to more 26 sustainable waste management practices. 27

Keywords: food waste; environmental impact; composting; anaerobic digestion;
 incineration; LCA.

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40 **1. Introduction**

Food wastage is a complex, interdisciplinary issue which can have profound effects for resource conservation (Thyberg and Tonjes, 2016). Food waste prevention and treatment with technologies that decrease environmental impact are increasingly considered as means to achieve more sustainable global food and waste systems. Policies addressing sustainable food waste management are being proposed and implemented, particularly in the U.S. and Europe. Focus has been placed on food waste due to concerns about the social,

47 environmental, and economic costs of food waste.

Some portion of food waste, even if waste avoidance measures were to be successful, is unavoidable (Schott et al., 2013); reuse opportunities, through redistribution of edible food to humans or animals probably cannot account for the remainder due to perishability and high transport and distribution costs (Buzby et al., 2014), or the excess food may not meet safety or quality requirements (Salhofer et al., 2008). Furthermore, such prevention activities may not appeal to consumers on aesthetic or cultural grounds (Buzby et al., 2011). About 32 million tonnes (MT) of food waste is disposed annually in the U.S., which

is 15% of all disposed municipal solid waste (MSW) (Thyberg et al., 2015). Currently
waste planners and managers see diversion of this waste from landfills as a means of

enhancing stagnant recycling rates, improving environmental conditions associated with
waste management, and ultimately contributing to resource conservation and sustainability.
Sound analyses of the environmental impacts of specific food waste treatment options
would support the development of better and more successful diversion programs.

A life cycle assessment (LCA) is a system assessment tool that quantifies potential
 environmental exchanges and impacts of system processes. Outputs include indicators
 which simplify and organize inventory results to make them more understandable (Owens,
 1999). Waste system LCAs quantify impacts of interconnected waste management
 technologies, from generation to final disposal/treatment based on a specified waste
 composition, and so allow for comparisons between options (Manfredi and Pant, 2013).

67 Previous food waste LCAs usually only model the food waste portion of the waste stream
68 and exclude impacts from other residual wastes (e.g., Lundie and Peters, 2005; Lee et al.,
69 2007; Andersen et al., 2012). An evaluation of the entire system is required to determine
70 which changes are needed for system improvement. This holistic approach also enables a
71 more complete understanding of the overall system as additional factors can be included in

the model, such as the effects of differing levels of source separation of the targeted

73 materials. Modeling all residual waste is important when considering combustion

technologies, too, since net energy production will be quite small for studies looking only at
food waste due to high moisture content (Morris et al., 2014).

Most food waste focused LCA research has been performed in European settings (Laurent et al., 2014), with fewer LCAs performed in the U.S. Table S1 in the Supplementary Materials provides a review of recent food waste focused LCAs, their characteristics, and main findings. Considerable differences between LCA study findings

regarding optimal food waste management have been found (Bernstad and Jansen, 2012).
However, it is difficult to compare findings from various LCA studies due to differences in
modeling approaches, assumptions, and functional units across studies.

83 The objective of this study was to use LCA to evaluate the environmental impacts of 84 U.S. residential waste disposal to determine if environmental improvement can be achieved by adopting separate food waste recovery and treatment in a suburban municipality (Town 85 of Brookhaven, Long Island, New York). Brookhaven currently disposes of collected 86 wastes using waste-to-energy incineration (WTE) and there is no separation of food waste; 87 this was considered the baseline scenario and alternatives to this baseline were evaluated. 88 The findings were used to determine the conditions under which food waste recovery is 89 90 beneficial, as well as how LCA analyses can be leveraged to effectively inform decision

91 making focused on sustainable waste management. Emphasis was placed on evaluating the

92 full residual waste stream going to disposal (not only food waste), as impacts and benefits

are associated with the entire system of managing wastes, not just the food waste portion.

- 94 When deciding on approaches for waste system improvements, it is essential to consider the
- 95 system-wide context rather than just looking at the impacts associated with a single waste
- 96 fraction. Additionally, determinations of exactly how to aggregate impact categories may
 97 affect the interpretation of potential system changes.
- 98 Thus, this study is unique because all residual waste was modeled for a suburban U.S. 99 municipality, something previous food waste LCAs have not considered. Four food waste
- 100 treatments were modeled, including WTE, two types of composting, and anaerobic
- 101 digestion (AD), to quantify impacts on climate change, eutrophication, acidification,
- 102 resource depletion, and stratospheric ozone depletion. This assessment indicated conditions
- 103 where food waste recovery is beneficial and enabled determination of the management
- scenario with fewest environmental burdens. As mentioned, most prior food waste LCAs
- 105 only consider food waste in isolation, and so changes in system-wide impacts from
- alternative food waste treatment are important to examine. Furthermore, no peer-reviewed
- 107 LCA has been conducted for any of the municipal waste management systems on Long
- 108 Island to date, although Long Island has been a U.S. pioneer in curbside recyclables
- 109 collection and long-distance transport of solid waste, banned landfilling altogether in 1990,
- 110 and sparked policy debates across the U.S. by launching the famous Garbage Barge of 1987
- 111 (Tonjes and Swanson, 1994). Ultimately, this investigation can support a discussion
- regarding effective decision making for sustainable waste management. Food waste is a
- topic of interest globally, and calls to increase food waste diversion are growing. Therefore,
- 114 more research is valuable, especially in U.S. settings.

116 **2. Materials and Methods**

115

117 2.1. Scope, Functional Unit, Boundaries and Assumptions

The Town of Brookhaven, a suburban New York municipality of 672 km² 118 119 approximately 100 km east of New York City, was used as a case study. The Town provides residential collection services through municipally-negotiated contracts with 120 121 private carters to 115,315 households (single-, two-, and three-family houses). There is 122 separate collection for paper and container recyclables, yard waste, and residual waste, 123 resulting in 32% diversion from disposal. The residual wastes are collected curbside twice a 124 week by packer trucks, transported to the Town's transfer station for repacking, and then 125 transported by tractor-trailers to the Town of Hempstead WTE plant (Greene et al., 2012).

126 The functional unit was one tonne of Brookhaven residential residual MSW collected 127 curbside, with a 100 year emissions time frame. The functional unit excludes wastes that 128 have been separated for recycling and yard waste composting, and those deposited at drop 129 off locations, assumed to be identical in all scenarios and thus mutually excluding (Grosso et al., 2012). A consequential LCA approach was used. Scenarios included system 130 131 expansions to account for changes outside the waste system, such as the substitution of 132 waste derived energy for fossil fuel energy. All environmental emissions upstream from 133 waste collection, including product manufacture, distribution, and use, were omitted (a 134 "zero burden" LCA) (Table S2) (Gentil et al., 2010).

It was assumed that household food waste source separation efficiency was 70%. It is possible that food waste would be commingled with the source separated yard waste currently collected for composting. However, because the functional unit excluded yard waste, any impacts on recovery processes from commingling food and yard wastes were not addressed. The study was performed in accordance with the International Organization for Standardization (ISO) LCA standard 14044 (2006) (ISO, 2006).

141 2.2. Modeling Approach

142 Four food waste treatment scenarios were modeled using EASETECH (Table 1) 143 (Clavreul et al., 2014). Figure 1 outlines the modeled processes. The technological systems 144 modeled were available in the EASETECH database, and were adjusted to the U.S. case. AD 145 and food waste composting, although not widespread in the U.S., are potential alternative 146 technologies for food waste because they have been applied broadly and successfully to other 147 organic wastes. There is a proposal to construct an AD facility near the Brookhaven transfer 148 station; AD plants, especially to treat animal wastes, are becoming more common in the U.S., 149 with biogas being an environmentally desirable fuel (Gomez-Brandon and Podmirseg, 2013). 150 Although there are not any food waste composting plants in the general New York metro 151 region, 7% of 3,285 U.S. composting facilities accept food scraps (Platt et al., 2014). 152 Therefore AD and composting were modeled as alternatives to WTE (Table 1). Co-153 processing food wastes at sewage sludge AD plants was not modeled to avoid functional unit 154 complications. The assessment only considered enclosed composting facilities due to odor 155 and vector issues in a densely populated suburban setting. Although landfilling is the primary 156 disposal option for residual waste in the U.S. (USEPA, 2015), it was not modeled because 157 landfilling MSW was banned on Long Island as of 1991 to protect its sole source aquifer system. Over half of residual waste on Long Island is treated by WTE (the remainder is 158 159 shipped to off-Long Island landfills) (Greene et al., 2010).

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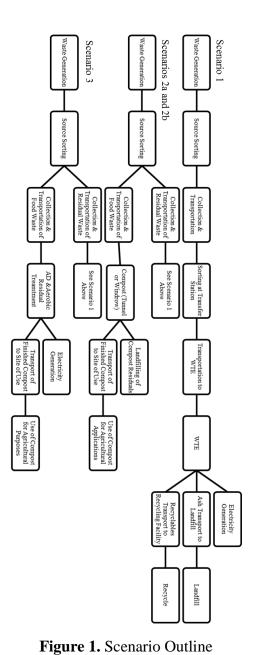


Table 1. Scenarios.

Number	Name	Description
1	Waste-to-Energy	Business as Usual: Current waste management system for
	Incineration (WTE)	Brookhaven. No food waste separation or recovery is
	Disposal	performed. All food waste is commingled with residual
		waste and disposed at a WTE incinerator.
2a	WTE and	Food waste is composted with an enclosed tunnel composting
	Enclosed Tunnel	system (all other residual waste is sent to WTE). Compost is
	Composting	produced by aerobic biodegradation. The compost is applied
		to facilitate plant growth or soil improvement in agricultural
		contexts.
2b	WTE and	Food waste is composted with an enclosed windrow system
	Enclosed Windrow	(all other residual waste is sent to WTE). Compost is
	Composting	produced by aerobic biodegradation. The compost is applied
		to facilitate plant growth or soil improvement in agricultural
		contexts.
3	WTE and	Food waste is digested by AD (all other residual waste is sent
	Anaerobic	to WTE). Biogas is produced by hydrolysis, acid
	Digestion (AD)	fermentation, and methane fermentation. It is used to
		generate electricity. Digestate is composted aerobically and
		the final compost is applied to facilitate plant growth or soil
		improvement in agricultural contexts.

178

The waste composition of the modeled residual waste was based on the arithmetic mean of data from a 2012 Brookhaven waste characterization study of three of the Town waste districts (Aphale et al., 2015). Food waste was 13.4% of the residuals. Animal waste was assumed to make up one-third of the total food waste, and vegetable-derived waste the remainder (WRAP, 2013). Specific waste inputs are given in the Supplementary Materials.

184 2.3. Inventory and Impact Assessment, Sensitivity Analysis

185 An inventory of elementary exchanges associated with the functional unit was determined and these exchanges were classified and characterized into impact categories. 186 187 The International Reference Life Cycle Data System (ILCD) approach (2013), the method recommended in EASETECH, was used for impact assessment (ECJRC, 2010). Seven 188 impact categories were used to ensure consideration of multiple types of environmental 189 190 burdens. They were: climate change (GW); stratospheric ozone depletion (ODP); terrestrial acidification (TA); terrestrial eutrophication (TE); freshwater eutrophication (FE); marine 191 192 eutrophication (ME); and depletion of fossil resources (ARF) (details provided in 193 Supplementary Materials). The marginal unit of electricity used by the waste treatment facilities and the electricity displaced by waste-derived electricity was assumed to come from 194 a mixture of natural gas (81%), coal (8%), and oil (11%), in accordance with the marginal 195 196 fuel sources for the northeast U.S. (Siler-Evans et al., 2012).

197 After impact assessment, the results can be normalized by comparing outputs to a given 198 reference, typically a regional value. Here focus was on the relative impacts of each scenario

199 to another, so normalization was not a major priority. However, normalization to person 200 equivalents was performed to enable comparisons across impact categories. EASTETECH's default normalization approach was used because it was developed specifically for the ILCD 201 2013 impact assessment method used here (Blok et al., 2013) (normalization values are 202 provided in Supplementary Materials). EASETECH normalization factors are based on 203 global and European emission references, and values for Brookhaven could be somewhat 204 205 different. However, the normalization values allow for relative comparisons across impact categories and construction of an aggregate score for each scenario. The normalized impact 206 category was also weighted based on perceptions of local public concerns to see how that 207 208 affected the analysis.

A sensitivity analysis was performed in which input parameters were varied across a range of possible values (Table S7), including food waste sorting efficiency, transport distances to facilities, and differences in the marginal energy profile.

212 **3. Results**

213 Impacts associated with climate change, terrestrial eutrophication, and marine

214 eutrophication were positive in all scenarios, indicating environmental burdens, while

215 ozone depletion, freshwater eutrophication, terrestrial acidification, and resource depletion

216 scores were negative, indicating avoided impacts (savings) (Table 2). Net savings were

217 observed for these categories because of the inclusion of indirect impacts resulting from the

substitution of materials outside the waste management system (e.g., electricity, fertilizers).

Because the whole residual waste stream was modeled, nearly all of the waste was treated similarly in the different scenarios (through WTE), so that variation only resulted from food waste (less than 13.4% of the modeled waste), and with only 70% of the food waste being

diverted. So, the relative difference between scenarios was small.

223 224

Scenario ^{a, b c}	GW	ODP	ТА	TE	FE	ME	AR
	(kg CO ₂	(kg CFC-	(AE	(AE	(kg P	(kg N	F
	eq.)	11eq.)))	eq.)	eq.)	(MJ
)
1			-		-		
	185	-0.0000026	0.61	2.40	0.000035	0.22	-911
2a			-				
	204	-0.0000026	0.62	2.23	-0.0072	0.29	-899
2b			-				
	206	-0.0000026	0.61	2.23	-0.0072	0.32	-885
3			-				
	185	-0.0000026	0.67	2.09	-0.0075	0.28	-949

 Table 2. Modeled environmental impacts (treatment of one tonne residual waste).

²²⁵ ^a A negative value indicates impact saving/emission reduction

^b AE: accumulated exceedance; GW: climate change; ODP: stratospheric ozone depletion;

227 TA: terrestrial acidification; TE: terrestrial eutrophication; FE: freshwater eutrophication;

228 ME: marine eutrophication; ARF: depletion of fossil resources

^c Scenario 1 = WTE; scenario 2a = tunnel composting and WTE; scenario 2b = windrow

230 composting and WTE; scenario 3 = AD and WTE

231

232 The scenarios were ranked with a score of one indicating the best environmental 233 performance. Ties were ranked as the average of the ranks that they would have otherwise occupied, and a mean rank was determined (similar to Diggelman and Ham, 2003) (Table 234 3). This provides a measure of environmental performance relative to the WTE business as 235 236 usual scenario. This approach is better for system planning, as decision making based on the relative performance of alternative policy scenarios under a range of scenarios is 237 preferred rather than on a single modeled scenario with absolute outputs (Plevin et al., 238 239 2014). The AD scenario performed best (or tied for best) in all impact categories except marine eutrophication. Generally, the baseline (WTE) and tunnel composting scenarios 240 performed better than the windrow composting scenario. Although the baseline scenario 241 performed better than at least one of the alternative scenarios in three impact categories 242 (climate change, marine eutrophication, depletion of fossil resources), alternatives to the 243 244 business as usual scenario appear capable of providing relative environmental benefit in 245 four of the modeled categories.

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Scenario ^{a, b}	GW	ODP	ТА	ТЕ	FE	ME	ARF	Average Ranking
1	1.5	2.5	3.5	4	4	1	2	2.6
2a	3	2.5	2	2.5	2.5	3	3	2.6
2b	4	2.5	3.5	2.5	2.5	4	4	3.3
3	1.5	2.5	1	1	1	2	1	1.4

^a AE: accumulated exceedance; GW: climate change; ODP: stratospheric ozone depletion;

249 TA: terrestrial acidification; TE: terrestrial eutrophication; FE: freshwater eutrophication;

250 ME: marine eutrophication; ARF: depletion of fossil resources

^bScenario 1= WTE; scenario 2a = tunnel composting and WTE; scenario 2b = windrow

252 composting and WTE; scenario 3 = AD and WTE

253

254 *3.1. Process-Specific Impacts*

The contribution of each waste system process was assessed for each impact category (see the Supplementary Materials Figures S1-S7). Generally, collection and transport contributed relatively moderately to the life cycle impacts in all impact categories. Fuel consumption during collection and transportation yielded NO_x and SO_x emissions, which affected terrestrial eutrophication and acidification, and marine eutrophication. Fuel use also contributed to depletion of fossil resources and climate change emissions.

WTE had mixed results. Environmental burdens in climate change occurred due to stack emissions (primarily of CO_2), which were partially offset by waste-derived energy substituting for fossil fuels. Burdens were observed in marine and terrestrial eutrophication, primarily due to NO_x emissions, with slight offsets due to waste substituting for fossil fuels. Savings were derived for terrestrial acidification savings due to SO_2 and NO_x offsets from replaced fossil fuel use. Savings also were observed in freshwater eutrophication due to reductions in phosphate emissions.

Recycling impacts occurred from the recovery of scrap aluminum and steel from WTE ash, with savings observed for climate change, primarily due to CO₂ reductions from offsets of virgin material use. Minimal stratospheric ozone depletion savings were observed due to CFC-11 savings, but these impacts were small and carry little importance.

Landfilling WTE residuals had small burdens across all categories; the effects were small because of the mass reduction associated with WTE, and because WTE ash is inert

- since organic matter is consumed, resulting in no methane or CO_2 generation in the landfill
- 275 (Papageorgiou et al., 2009).
- Burdens from AD and composting operations were small because only 70% of the total amount of food waste was involved. Food waste made up 13.4% of the total MSW stream;
- if 70% of this food waste was source separated and treated differently than residual waste,
- 279 93.3 kg. of food waste was subject to the alternative treatment and therefore, treated
- 280 differently across scenarios. Savings accruing from compost use were also minimal.
- 281 However, relative differences for the three alternative treatments for these 93.3 kg
- 282 compared to WTE provided the differences among the ratings of the scenarios, so these
- small absolute differences are relatively important (Table 4).
- 284 285

			<u>`</u>		0		
Scenario ^{a,}	GW	ODP	ТА	TE	FE	ME	ARF
b c	(kg CO ₂	(kg CFC-	(AE)	(AE)	(kg P	(kg N	(MJ)
	eq.)	11eq.)			eq.)	eq.)	
1					-1.2 x 10 ⁻		-
	-12.5	-2.1 x 10 ⁻⁸	0.03	0.30	6	0.0029	9.21
2a	8.59	4.6 x 10 ⁻¹⁰	0.04	0.16	3.0 x 10 ⁻⁷	0.0025	7.09
2b							13.3
	12.9	8.3 x 10 ⁻¹⁰	0.05	0.16	7.3 x10 ⁻⁷	0.0039	1
3			-	-	-1.6 x 10⁻		-
	-9.25	-6.3 x 10 ⁻⁹	0.023	0.014	6	-0.0013	73.9

 Table 4. Modeled environmental impacts (treatment of 93.3 kg. of residual food waste).

^a A negative value indicates impact saving/emission reduction

^b AE: accumulated exceedance; GW: climate change; ODP: stratospheric ozone depletion;

288 TA: terrestrial acidification; TE: terrestrial eutrophication; FE: freshwater eutrophication;

289 ME: marine eutrophication; ARF: depletion of fossil resources

^c Scenario 1 = WTE; scenario 2a = tunnel composting and WTE; scenario 2b = windrow
 composting and WTE; scenario 3 = AD and WTE

292

293 Table 4 provides impacts for the alternative treatment of source separated food waste 294 (93.3 kg. of food waste resulting from the source separation of 70% of the total food waste 295 in the 1,000 kg. total MSW); the impacts of treating this waste with WTE were also 296 provided for comparison. This table only indicates results from the waste treatment 297 processes (WTE, AD, composting), not other system components (e.g., transport). Composting operations yielded net climate change burdens rather than benefits because 298 299 composting requires energy expenditures but generates no electricity (echoing findings in 300 Khoo et al. 2010 and Morris et al. 2014). N₂O and CO₂ emissions, partially from energy 301 consumption, drove composting climate change burdens. C and N compound emissions were reduced with indoor composting due to assumed biofilter usage (the same filter 302 303 efficiencies were assumed for both composting scenarios). Emissions of SO₂, NO_x, and 304 NH₃ from daily operations (e.g., electricity requirements of facilities) and fugitive emissions which escaped through the biofilter, contributed to the terrestrial acidification, 305 terrestrial eutrophication, and marine eutrophication burdens. Electricity use and the 306 307 operation of mechanical equipment in the composting facilities caused depletion of fossil resources. The differences between the two composting technologies largely resulted from 308 309 the one third lower electricity requirements for tunnel composting.

For AD, the greatest savings for climate change provided net benefits in all impact categories, due to the replacement of fossil fuel energy by AD-generated energy (savings resulted primarily from CO₂ offsets). Although environmental emissions from AD were reduced due to a biofilter, some fugitive emissions and facility operation emissions occurred. However, direct emissions of NO_x, NH₃, SO₂, and CH₄ emissions from AD were entirely offset by the replacement of fossil fuels, which also led to savings in the depletion of fossil resources category.

317 Compost use, comprised of land application, fertilizer substitution, and soil C and N 318 sequestration for compost and composted AD residuals, yielded benefits in four impact 319 categories but not ozone depletion, marine eutrophication, and depletion of fossil resources (Table S6). Burdens resulted from the use of a diesel manure spreader, but were relatively 320 321 small compared to other aspects of the LCA. Savings resulted from attributed carbon 322 sequestration in soils from compost use and substituting compost nutrient inputs that 323 displace commercial fertilizers. It is not surprising that the two compost scenarios rank 324 better than AD for compost use in all impact categories, as only AD compost residuals are composted, and AD compost is of lower quality because AD consumes organic matter 325 326 during the digestion phase to create energy gases (Andersen et al., 2012).

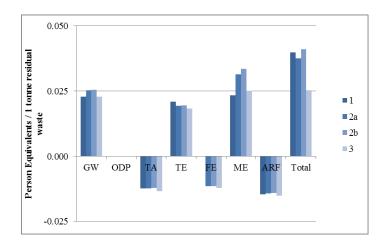
327 Composting offers additional benefits that are difficult to quantify through LCA, 328 including weed suppression, increased soil productivity, and water conservation. The LCA 329 literature does not currently have an impact category directly assessing soil quality and 330 productivity, although soil carbon sequestration and synthetic fertilizer displacement are typically included (as they were here) (Morris et al., 2014). It is necessary to qualitatively 331 332 recognize the additional benefits of compost to soils when examining composting options, and future efforts to formally quantify them are necessary to improve the performance of 333 334 composting relative to other technologies.

335 3.2. Normalized Environmental Impacts

336 The impact category with the highest normalized effects under all scenarios was marine 337 eutrophication (ME); climate change (GW) and terrestrial eutrophication (TE) also had high 338 impacts (Figure 2). These categories showed the greatest differences across scenarios. The 339 smallest differences across scenarios occurred for ozone depletion (ODP). Fossil resource 340 depletion (ARF) showed the highest normalized impact reductions. Overall, all scenarios 341 had higher environmental burdens than savings, as indicated by the aggregated total of 342 normalized impacts. The concept of person equivalents essentially gives each impact 343 category the same importance. If this is reasonable, then the overall burden from AD was 344 about 0.01 normalized impact factors less than the tunnel composting scenario, which in 345 turn was about 0.003 impact factors less than the baseline scenario, which was again 346 slightly less than the windrow composting scenario (Figure 2).

347

348



349 Figure 2. Normalized impact profiles.

350

351 3.3. Sensitivy Analysis

352 A sensitivity analysis was performed to examine the effects of altering several input 353 parameters on climate change (see SI Tables S6 and S7); this impact category was selected 354 because it is of particular interest in the waste management field (Vergara et al., 2011) and it 355 had one of the highest normalized impacts. Sorting efficiency represented a major source of 356 uncertainty (see Yoshida et al. 2012, where capture efficiency was key for modeling 357 greenhouse gas emissions from several organic waste management options). Waste sorts we 358 have reviewed (see Thyberg et al., 2015) indicate that even with robust recycling programs, 359 considerable amounts of targeted recyclables remain in disposal streams. For Brookhaven, 360 up to one-third of discarded residual waste is recyclable, and overall capture efficiencies range from one-quarter to one-half (Aphale et al., 2015). It may be that a 70% separation 361 efficiency is optimistic. In any case, because the baseline scenario of all WTE for residuals 362 363 had the lowest climate change burden, increased sorting efficiencies for food waste nonintuitively increased climate change burdens. However, these increases were not substantial 364 365 and they did not change the rank ordering of scenarios.

366 Transportation and collection are the most commonly tested parameters for sensitivity 367 assessments in waste LCAs, although several studies have shown that impacts of waste transport rarely has a large influence on overall system environmental impacts (Laurent et 368 369 al., 2014; Grosso et al., 2012). Distance from facilities is an issue in recent food waste 370 legislation, in that several New England regulations base diversion requirements on the 371 distance waste generators are from available treatment facilities. Climate change burden 372 increased with increased distance from treatment facilities, but not substantially (relative to 373 overall system impacts). An increase of approximately three kg CO₂-eq. per tonne of waste managed was observed for all three alternative scenarios as distance increased from 11 to 374 375 400 km; similar findings hold when increasing the distance from management facility to the compost use site. The rank ordering of scenarios did not change. Although the relative effects 376 377 are not great, cumulative impacts from transportation with regard to thousands or millions of 378 tonnes of food waste could be substantial.

379 Here waste-derived energy was substituted for energy from other sources. Others have 380 found the exact manner in which this substitution is quantified can be important, especially 381 relating to climate change impacts (Bernstad et al., 2012). Changing from northeast to mid-382 Atlantic marginal energy mixes made a considerable difference in climate change effects 383 (Table S8). Northeast energy is dominated by natural gas, a relatively clean fossil fuel; the 384 mid-Atlantic relies primarily on hard coal, which has more climate change impacts. Each 385 scenario switched from having climate change impacts to having climate change benefits 386 under mid-Atlantic marginal energy, although the relative ranking of the scenarios did not change (considering only that climate change impacts altered). The relative difference 387 388 between AD and the other scenarios might increase when using another marginal energy mix (more dependent on coal). Across the U.S., marginal CO₂ emissions vary from 486 kg/MWh 389 390 (west) to 834 kg/MWh (midwest), SO₂ emissions vary from 0.2 kg/MWh (west) to 3.3 391 kg/MWh (mid-Atlantic), and NO_x emissions from 0.32 kg/MWh (west) to 1.07 kg/MWh 392 (midwest) (Siler-Evans et al., 2012). Waste derived energy will show high impact savings 393 when substituting for marginal energy in regions with high emissions; if it substitutes for 394 renewable, non-polluting energy sources, perceived benefits are reduced. The benefits of 395 waste derived energy substituting for fossil energy are likely to decrease in the future as more 396 energy is created from cleaner, non-fossil sources. In addition, there is much talk of a 397 changing residual waste composition due to the loss of paper in the waste stream, increased 398 use of plastics, and the potential for loss of organics in the disposal waste stream, all of which 399 will decrease non-fossil fuel waste energy benefits. Thus, the impact assessment of 400 alternative food waste treatment will differ by location and will likely change over time.

401 **4. Discussion**

402 The best management approach for food waste can be selected in two ways: through 403 rankings (Table 3), or using the aggregated totals of normalized effects (Figure 2). Both 404 results indicated that diverting food waste from WTE to AD reduced environmental 405 burdens, and the AD scenario performed the best relative to the other scenarios. In the 406 aggregated total approach, the tunnel composting scenario performed marginally better than 407 the WTE scenario. The windrow composting scenario performed the worst. The ranking 408 approach showed WTE and tunnel composting being equivalent in impact, with windrow 409 composting worse. Some important aspects of compost use (weed suppression, increased 410 soil productivity, water conservation) are not included in EASETECH and in LCAs 411 generally (Buzby et al., 2011), and so overall benefits of composting are likely 412 underestimated. Additionally, in this iteration toxicity indicators were not included. 413 Generally, other waste LCAs have determined that AD and composting have fewer 414 potential impacts on human toxicity, human carcinogenicity, human respiratory effects, and 415 ecotoxicity than WTE (Morris et al., 2013). Therefore, the benefits of AD and composting 416 are likely to be even more underestimated relative to WTE. 417 Diverting food waste to AD in Brookhaven provides the greatest potential for 418 environmental benefit. It is not clear if the un-included factors for composting choices 419 would outweigh the considerable advantage from energy offsets that accrue due to the 420 business as usual WTE option. The difference in the impact factors we examined in the 421 LCA tended not to be too great; marine eutrophication was the only impact category where

any of the scenarios were as much as 0.01 impact factors different from each other. So
 toxicity factors and the unaccounted for compost benefits would need to score very high to
 change the order of scenarios as depicted here.

425 All scenarios yielded greater environmental burdens than savings. This suggests that 426 the best way to improve environmental performance and contribute to global sustainability 427 is through waste prevention. Waste prevention also eliminates upstream impacts of food 428 production (Hamilton et al., 2015). This can be compared to more traditional recycling 429 efforts, which generally are found to create net environmental benefits. This suggests that if 430 funds are limited, trying to energize Brookhaven citizens to recover more paper and 431 containers might be a better expenditure of public monies, because it would create 432 environmental benefits rather than burdens. However, overall system burdens could be 433 reduced by adopting AD; furthermore, trying to increase recycling while also diverting food 434 wastes to AD would reduce the overall impact of managing wastes in the Town of 435 Brookhaven.

436 Although it is unlikely that the Town would switch to landfilling MSW instead of 437 incineration in the future, it is interesting to think about how such a switch would be 438 affected by alternative food waste treatment technologies. If the Town landfilled its wastes, 439 the impacts of a switch to alternative food waste treatment would be greater. Landfilling is 440 almost always found to have more environmental burden than WTE (due to methane 441 emissions) (Guereca et al., 2006; Lee et al., 2007), and since food waste degrades more 442 thoroughly and quickly than other organic wastes, its removal from a landfill would result 443 in much lower environmental burden for the system as a whole (Morris et al., 2014; 444 Bernstad and Jansen, 2012).

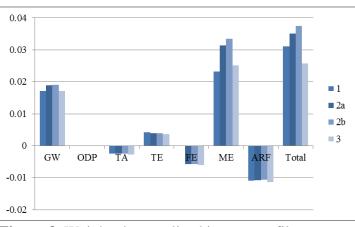
445 Using rankings to determine the best management approach ignores the scale of
446 differences among the choices. However, using the aggregated totals to determine the better
447 management choices means relying on the many assumptions used to generate the

448 aggregation process, and further assumptions regarding the relative importance of each
449 impact category. Adding quantitative sophistication to the decision process does not ensure
450 better decision-making (Plevin et al., 2014), although a comparison of more refined data
451 appears to have more certitude.

452 *4.1. Weighting Results*

453 A rough weighting of the impact categories was also made using our perceptions of the 454 relative importance of the seven impact categories to the local environment (Table S9). 455 Weighting criteria included the level of public awareness of the impact category, as well as 456 their emphasis in local environmental legislation. Weighted impacts appeared to have less 457 of an environmental burden, and reduced the relative normalized difference between 458 scenarios. Weighting also caused the WTE baseline scenario to perform better than the 459 tunnel composting scenario; the windrow composting scenario still performed the worst 460 (Figure 3). It is recognized that LCA weighting is controversial because it is subjective, yet 461 has the ability to greatly influence study results and conclusions. This rough weighting approach was performed to provide a general indication of weighted impacts, but a more 462 463 formal panel approach may be undertaken in the future. By eliciting participation and 464 feedback from a diverse panel of expertise (such as waste managers, stakeholders, general 465 public, and partners in the waste field), the panel could substantiate the weights, thus 466 refining the LCA results.

467



469 **Figure 3.** Weighted normalized impact profiles.

470

468

471 *4.2. Limitations*

472 Although LCA is useful as a decision support tool for policy development because it 473 can indicate the technologies with fewest environmental burdens, the subjective nature of outputs to modeling choices and the inability to account for social and economic factors 474 475 limit its utility. Factors important to decision-making for sustainable waste management, 476 such as local environmental impacts (e.g., odor, noise), working environment factors (e.g., 477 safety), investment costs, maintenance costs, and stakeholder concern are generally not 478 included in LCAs (Thyberg and Tonjes, 2015). Political goals (e.g., resource recovery, 479 reduced emissions, energy recovery) will also affect which technological option appears to 480 be the most beneficial, although these can be accounted for through factor weighting. Cost 481 is always an issue; separate management of food wastes will require extra collection effort, 482 and most likely higher disposal fees. So, it is clear that selecting the most sustainable waste 483 management practices requires additional information and evaluation besides that presented 484 by traditional LCAs. The inability of LCAs to account for important parameters other than 485 environmental impacts make them too one-dimensional to be used as a sole means to select

sustainable waste treatments (Morris et al., 2014). Therefore, LCAs are important elements
for sustainable decision-making, but they should be used in conjunction with other tools
(e.g., social LCA, life cycle cost evaluations) (Thyberg and Tonjes, 2015). An area of
future research includes capturing these other factors in our analyses.

It is difficult to make a direct comparison of waste treatment alternatives across LCA
studies (Lundie and Peters, 2005; Bernstad and Jansen, 2012), so that findings tend to be
case specific (Vandermeersch et al., 2014). This is due to functional units often being not
equivalent and differences in modeling assumptions, impact categories, technologies being
assessed, and geographical settings. Our findings appear reasonable for the Town of
Brookhaven, although they may not hold elsewhere.

496 Food waste prevention was not included as an option. Only limited prior LCA work 497 has included waste prevention (e.g., Oldfield and Holden, 2016; Schott and Andersson, 498 2015, Gentil et al., 2010, Hamilton et al., 2015). The existing quantitative work that has 499 been conducted on food waste prevention indicates it results in the greatest impact 500 reductions, primarily from avoided food production (Gentil et al., 2010); prevention also achieves certain economic and social benefits (Thyberg and Tonjes, 2015). Technically, 501 502 prevention alters the functional unit, thus making it challenging to compare results between 503 scenarios. Waste prevention can liberate treatment capacity at disposal facilities. For WTE, 504 this can result in higher energy value in residual waste due to lesser food waste. These 505 effects are not typically accounted for in waste LCAs. Upstream impacts, such as those 506 from agricultural and industrial food production, may be substantial, and their inclusion is

507 necessary for analysis of waste prevention effects (Oldfield and Holden, 2014).

508 **5. Conclusion**

509 A LCA of the environmental impacts of four waste system scenarios was conducted for 510 the Town of Brookhaven, New York, to determine the effect of changes in food waste 511 treatment. This allowed for the inclusion of local specifics in the model, such as waste 512 composition and transport distances, and provided insight into potential improvements for 513 the current system. The objective of the study was to evaluate the environmental impacts of 514 U.S. residential waste disposal in a suburban municipality to determine if environmental 515 improvement could be achieved by adopting separate food waste recovery and treatment. Results indicated that overall environmental burdens can be reduced by source separating 516 517 food waste and treating it by AD, and then composting the AD residuals, or treating it with 518 tunnel composting. Results also indicated, however, that in some impact categories, the 519 business as usual scenario (WTE of residuals including food wastes) is a better choice from 520 an environmental perspective. Sensitivity analysis found marginal energy portfolios have 521 considerable effects on the size of impacts.

522 These findings can be used to inform decision making focused on sustainable waste 523 management in the U.S. Although our findings are, strictly speaking, limited to the location 524 and technologies we studied, our results suggest that food waste diversion may be 525 considerably more beneficial in other regions, particularly those that landfill wastes and 526 burn coal to make electricity. Shifting to waste treatment technologies that minimize the 527 environmental impacts of waste systems can contribute to more sustainable waste 528 management practices, and the use of LCAs to identify those more advantageous 529 approaches can be beneficial. However, we do recognize that LCAs can sometimes 530 overcomplicate environmental impact studies by presenting a plethora of impact categories. 531 and also oversimplify effects when results are reduced to single values. In the latter 532 situation, care must be taken to assign weightings to categories that fit local conditions, as 533 well as social and policy goals.

534 So, in order to increase the sustainability of waste systems, other factors that influence 535 decisions, including economic costs, social priorities, and stakeholder concerns, should also

- be considered. Because our analysis was conducted on the entire waste stream, results can
- 537 be compared to the system-wide economic effects of changes in food waste management,
- as well as the broader social and policy impacts of addressing food waste disposal issues.
- 539 Because previous food waste LCAs look only at food waste, it is difficult to integrate their 540 findings into system-wide economic and stakeholder analyses.
- 541 In conclusion, food waste must be responsibly managed for societies to be sustainable.
- 542 Key aspects of sustainable food waste strategies will include food waste prevention
- 543 policies, as well as its treatment with the most environmentally sound technologies. This
- study indicated that treating food waste with certain technologies will provide greater
- environmental impact reductions than others. Sustainable food waste management will
- 546 become even more important over time as populations grow, and urbanization, economic
- 547 growth, and globalization lead to differing food waste generation and disposal trends.

548 Supplementary Materials: The supplementary material (SM) describes the Life Cycle 549 Assessment (LCA) case study. Section 1 describes previous LCA work focused on food waste. Sections 2 and 3 further describe the model and the case study. Sections 4-6 expand 550 551 on the results presented in the main section of the paper. Specifically, the following are available online: Table S1. LCAs Focused on Food Waste; Table S2. LCA Boundaries; Table 552 553 S3. Material Characteristics of Waste Fractions; Table S4. Environmental Impact Categories 554 Included in LCA; Table S5. Process Groups in the LCA; Table S6. Compost Use Process 555 Impacts; Table S7. Sensitivity Analyses; Table S8. Marginal Energy Sensitivity Analysis Results; Table S9. Weighting Criteria; Figure S1. Climate Change (GW) - Process Specific 556 557 Impacts; Figure S2. Stratospheric Ozone Depletion (ODP) - Process Specific Impacts; Figure S3. Terrestrial Acidification (TA) - Process Specific Impacts; Figure S4. Terrestrial 558 559 Eutrophication (TE) - Process Specific Impacts; Figure S5. Freshwater Eutrophication (FE) 560 - Process Specific Impacts; Figure S6. Marine Eutrophication (ME) - Process Specific Impacts; and, Figure S7. Depletion of Fossil Resources (ARF) - Process Specific Impacts. 561

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